

UCC Downloads

The following forms are available for download on the Secretary of State's website.

General Forms/Information:

- Credit Card Payment Slip
- On-File Credit Card Set-Up Form
- AL Administrative Rules, Chapter 820-4-3 Procedures for Revised Article 9

Initial Financing Statement Forms:

- National UCC Financing Statement (UCC1) - Initial filings of all types, except FARM. This form can be filled out on your computer and then printed. Online filing is available.
- UCC Financing Statement Additional Parties (UCC1AP) - Provides space for adding several additional parties to the Financing Statement - always filed with a UCC1. This form can be filled out on your computer and then printed. This form is always filed with a UCC1.
- National UCC Financing Statements Addendum (UCC1AD) - Used to add additional parties or lengthy party information and/or collateral to the Financing Statement - always filed with a UCC1. This form can be filled out on your computer and then printed. This form is always filed with a UCC1.

Financing Statement Amendment Forms:

- National UCC Financing Statement Amendment (UCC3) - File amendments (changes to the information indexed), Assignments (full or partial assignments of interest in collateral), Continuations, and Terminations. This form can be filled out on your computer and then printed. Online filing is available.
- UCC Financing Statement Amendment Additional Parties (UCC3AP) - Used to add additional parties to the UCC3. This form can be filled out on your computer and then printed.
- National UCC Financing Statement Amendment Addendum (UCC3Ad) - Used to add additional collateral or miscellaneous information to the UCC3. This form can be filled out on your computer and then printed.

Information Statement:

- Information Statement (UCC5) - The Information Statement does not amend/change any filing/index. It is for informational purposes only. This form can be filled out on your computer and then printed.

FARM - Central Registry Commodity Filing Forms:

- Initial Farm Products Filing (UCC-1F) - Initial filing to notify sellers/commissioned agents/buyers of farm commodities that a lien exists against the specific commodity. Enables the sellers/commissioned agents/buyers to make the payment for the commodities payable to both the producer and the lien holder to avoid any future claims by the lien holder. This form can be filled out on your computer and then printed. Online filing is available.
- Farm Products Addendum (UCC-2F) - Used to add additional parties and/or collateral to the Financing Statement - always filed with a UCC-1F or UCC-3F.
- Farm Products Amendment (UCC-3F) - File Amendments (changes to the information indexed), Assignments (full or partial assignments of interest in collateral), Continuations, and Terminations. This form can be filled out on your computer and then printed.
- Product & County Code Table (UCC-PC) - Farm Products table and List of Alabama County Codes.
- Farm Products Registry Form (UCC20) - Used by merchants, buyers, and sellers to purchase the Farm Products Registry List.

Search Request Form:

- Information Request (UCC11) - All name and number searches and copy requests must be submitted on this form. This form can be filled out on your computer and then printed. Online filing is available.

Uniform Commercial Code

**UCC Division
RSA Plaza - Suite 580
770 Washington Avenue
Montgomery, AL 36104**

**For more information, contact
Beth Hall at 334-242-5970 or
Margaret.Hall@sos.alabama.gov**



Alabama Secretary of State's Office

About the UCC Division

Welcome to the UCC Division of the Alabama Secretary of State's Office. Prior to 1967, the Uniform Commercial Code did not exist in Alabama. The UCC Section we have today began January 1, 1967 and evolved from a manual filing system into a computer-automated filing system.

What is the Uniform Commercial Code?

The Uniform Commercial Code, which governs the filings made in the UCC Division, is located in Title 7, Article 9A of the Code of Alabama, 1975. UCCs, as referred in Article 9, are liens placed on certain personal property, such as equipment, accounts receivable, etc., that normally cannot be titled or deeded, like automobiles, aircrafts, boats, and real estate.

What purpose does the Uniform Commercial Code serve?

The Uniform Commercial Code serves a number of purposes and covers just about all commercial transactions. However, the main purpose of a UCC is to perfect the secured interest of the secured party in personal property collateral.

UCC1:

This form is for initial filings of all types (except FARM) and can be filled out on your computer and then printed. Online filing is also available.

Fee: \$20.00 for the first two pages and \$2.00 for each additional page

UCC1AD:

This form is used to add additional parties or lengthy party information and/or collateral to the Financing Statement. This is always filed with a UCC1.

Fee: No fee. Include this form with the UCC1, if needed.

UCC1AP:

This form provides space for adding several additional parties to the Financing Statement. This is always filed with a UCC1 and can be filled out on your computer and printed.

Fee: No fee. Include this form with the UCC1, if needed.

UCC3:

Use this form to file amendments (changes to the information indexed), Assignments (full or partial assignments of interest in collateral), Continuations, and Terminations. This form can be filled out on your computer and then printed. Online filing is available.

Fee: \$20.00 for the first two pages and \$2.00 for each additional page

UCC3AD:

This form is used to add additional collateral or miscellaneous information to the UCC3 and can be filled out on your computer and printed.

Fee: No fee. Include this form with the UCC3, if needed.

UCC3AP:

This form is used to add additional parties to the UCC3 and can be filled out on your computer and printed.

Fee: No fee. Include this form with the UCC3, if needed.

UCC5 (Information Statement):

The Information Statement does not amend/change any filing/index. It is for informational purposes only. This form can be filled out on your computer and then printed.

Fee: \$20.00 for the first two pages and \$2.00 for each additional page.

UCC-1F:

This form is the initial filing to notify sellers, commissioned agents, and buyers of farm commodities that a lien exists against the specific commodity. This form also enables the sellers, commissioned agents, and buyers to make the payment for the commodities payable to both the producer and the lien holder to avoid any future claims by the lien holder. This form can be filled out on your computer and printed. Online filing is also available.

Fee: \$20.00 for the first two pages and \$2.00 for each additional page.

UCC-2F:

This form is used to add additional parties and/or collateral to the Financing Statement and is always filed with a UCC-1F or UCC-3F.

Fee: No fee. Use this form with the UCC-1F, if needed.

UCC-3F:

This form is used to file amendments (changes to the indexed information), assignments (full or partial assignments of interest in collateral), continuations, and terminations. This form can be filled out on your computer and printed.

Fee: \$20.00 for the first two pages and \$2.00 for each additional page.

UCC-PC:

This form is used for the farm products table and the list of Alabama county codes.

Fee: No fee. Use this form as a reference when completing the UCC-1F or UCC-3F.

UCC-20:

This form is used by merchants, buyers, and sellers to purchase the Farm Products Registry List.

Fee: \$100.00

UCC11 (Information/Search Request):

This form is used for all name and number searches, and a copy request must be submitted, as well. This form can be filled out on your computer and printed.

Fee:

Debtor Name Search: \$20.00

Plus Copies: \$1.00 per page

Copy Request (By File Number): \$1.00 per page

Certified Search (By Debtor Name): \$20.00 for the name
\$1.00 per page
\$5.00 per file number

Expedite Fee (Per Search): \$100.00



UCC Definitions

Financing Statement: The document filed at the state and/or local jurisdiction that states the names and addresses of the debtor and the secured party and a description of the collateral. When filed, a financing statement perfects the security interest of the secured party.

Amendment: A document that is filed to note some type of change to the original financing statement.

Assignment: An original creditor may assign his/her right in a secured transaction to another creditor.

Continuation: Usually done with a UCC3 form and continues an original financing statement for another effective period. The effective period is usually five years.

Termination: Once an obligation is paid or fulfilled, the original financing statement must be terminated by the secured party of record. Under Revised Article 9, debtors may file termination statements, but they are only effective if the secured party should have filed a termination and failed to do so.

Debtor: The party who owes payment or performance of a secured obligation.

Secured Party: A lender in a secured transaction.

Collateral: The property subject to a security interest, such as accounts, goods, fixtures, etc.

Maturity Date: The date the debtor and the secured party agree to complete the terms of their agreement.

Farm Products: Goods that are crops, livestock, or supplies used or produced in farming operations or if they are products of crops or livestock in their unmanufactured states and if they are in the possession of a debtor engaged in raising, fattening, grazing, or other farming operations. If goods are farm products, they are neither equipment nor inventory.

Secured Interest: A lender's right to personal property or fixtures that secured payment of performance of an obligation. The lender is deemed to have a security interest in the collateral.

Transmitting Utility: Any entity primarily engaged in the railroad, street, railway, or trolley bus business, the electronics or electronic communication transmissions business, the transmission of goods by pipeline, or the transmission of electricity, steam, gas, or water, or the provision of sewer service.